

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/808,407	03/14/2001	Takuro Tamura	033808/027 8720	3695
75	90 04/23/2003			
REED SMITH			EXAMINER	
Stanley Fisher 3110 FAIRVIEW PARK DRIVE			SIEW, JEFFREY	
SUITE 1400 FALLS CHURCH, VA 22042			ART UNIT	PAPER NUMBER
			1637	•
			DATE MAILED: 04/23/2003	10

Please find below and/or attached an Office communication concerning this application or proceeding.

· · · · · · · · · · · · · · · · · · ·	Application No.	Applicant(s)				
•		Applicant(s)				
Office Action Summary	09/808,407					
omoc Acadin Calminary	Examiner	Art Unit				
The MAILING DATE of this communication a	Jeffrey Siew	1637				
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a re  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statu - Any reply received by the Office later than three months after the maili earned patent term adjustment. See 37 CFR 1.704(b).  Status	.136(a). In no event, however, may a r ply within the statutory minimum of thir d will apply and will expire SIX (6) MON tte, cause the application to become AB	reply be timely filed by (30) days will be considered timely. ITHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 25	September 2001 .					
2a) This action is <b>FINAL</b> . 2b) ⊠ T	his action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-7</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-7</u> is/are rejected.						
'_	7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or election requirement.  Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to t						
11) The proposed drawing correction filed on	is: a)□ approved b)□ d	isapproved by the Examiner.				
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a)⊠ All b)□ Some * c)□ None of:						
<ol> <li>Certified copies of the priority documents have been received.</li> </ol>						
2. Certified copies of the priority documents have been received in Application No						
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>						
Attachment(s)	•					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of	Summary (PTO-413) Paper No(s) Informal Patent Application (PTO-152)				

Application/Control Number: 09/808,407

Art Unit: 1637

## **DETAILED ACTION**

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5 are rejected under 35 U.S.C. 102(b) as being anticipated by Lockhart et al (Nature Biotechnology vol. 14 pp. 1 1675-1680 1996).

Lockhart et al teach a method of displaying results In which a plurality of probe biopolymers immobilized on a biochip are hybridized to a sample biopolymer comprising step of displaying information obtained in hybridization experiment about a hybridization level for each probe with similarity score representing similarity of base sequences. (see whole document teaching arrays with measuring level of hybridization signal (see figure 3 and 5 and they teach phycoerythrin and fluorescein emissions in experimental protocol).

The term "hybridization level" and "similarity score" reads broadly to cover Lockhart et al's teaching of hybridization intensities.

2. Claims 1-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Schena et al (Science vol. 270 pp. 467-470 1995)

Schena al teach a method of displaying results In which a plurality of probe biopolymers immobilized on a biochip are hybridized to a sample biopolymer comprising step of displaying

Application/Control Number: 09/808,407

Art Unit: 1637

information obtained in hybridization experiment about a hybridization level for each probe with similarity score representing similarity of base sequences. (see whole document 1 and they teach fluorescein emissions on page 468). They also teach showing the display of plurality of biochips (see figure 1).

The term "hybridization level" and "similarity score" reads broadly to cover Schena et al's teaching of hybridization intensities.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-7 are rejected under 35 U.S.C. 102(e) as being anticipated by Slater et al (US 6,448,387 Sept, 10,2002).

Slater al teach a method of displaying results In which a plurality of probe biopolymers immobilized on a biochip are hybridized to a sample biopolymer comprising step of displaying information obtained in hybridization experiment about a hybridization level for each probe with similarity score representing similarity of base sequences. (See whole document teaching arrays with measuring level of hybridization signal of different dyes). They also teach showing the display of plurality of biochips(see figure 1).

The term "hybridization level" and "similarity score" reads broadly to cover Slater et al's teaching of hybridization intensities.

Page 4

Application/Control Number: 09/808,407

Art Unit: 1637

### **SUMMARY**

4. No claims allowed.

## **CONCLUSION**

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey Siew whose telephone number is (703) 305-3886 and whose e-mail address is Jeffrey. Siew@uspto.gov. However, the office cannot guarantee security through the e-mail system nor should official papers be transmitted through this route. The examiner is on flex-time schedule and can best be reached on weekdays from 6:30 a.m. to 3 p.m. If attempts to reach the examiner are unsuccessful, the examiner's supervisor, Gary Benzion, can be reached on (703)-308-1119.

Any inquiry of a general nature, matching or filed papers or relating to the status of this application or proceeding should be directed to the <u>Tracey Johnson</u> for Art Unit 1637 whose telephone number is (703)-305-2982.

Page 5

Application/Control Number: 09/808,407

Art Unit: 1637

Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Center numbers for Group 1600 are Voice (703) 308-3290 and Before Final FAX (703) 872-9306 or After Final FAX (703) 30872-9307.

JEFFREY SIEW
PRIMARY EXAMINER

April 17, 2003